188.574

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The application of:

1. Engelhardt, et al.

Serial No.: 10/532,779

Filed: 04/22/2005

For: FINGER ... ENGINE

Hedman and Costigan

1185 Avenue of the Americas

New York, NY 10036

#### **DECLARATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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AUG 1 7 2005

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Sir:

Helmut Engelhardt, a citizen of Germany, residing at Schumannstrasse 30, 91074 Herzogenaurach, Germany and Johann Kecker, a citizen of Germany, residing at Hauptstrasse 1, 91074 Herzogenaurach, Germany, hereby depose and say:

That they are the original and first joint inventors together with Jörg Michel, a citizen of Germany residing at Unterleupoldsberg 4, 95131 Schwarzenbach am Wald, Germany of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FINGER LEVER OF A VALVE TRAIN OF AN INTERNAL COMBUSTION ENGINE

We hereby state that we have reviewed and understand the contents of the aboveidentified application, including the claims, as amended by any amendment referred to above. We acknowledge the duty to disclose information known to us to be material to the examination of this application as defined by 37 CFR 1.56.

# FOREIGN AND DOMESTIC PRIORITY CLAIMS UNDER 35 USC 119 AND PRIOR FOREIGN/PCT APPLICATIONS

I hereby claim foreign or domestic priority benefits under 35 USC 119 or 365(b)

for any United States provisional patent application or foreign application(s) for patent

or inventor's or plant breeder's rights certificate(s) or under 35 USC 365(a) for any PCT

international application(s) designating at least one country other than the United States

of America listed below and have also identified below any foreign application(s) for

patent or inventor's or plant breeder's rights certificate(s) or any PCT international

application(s) designating at least one country other than the United States of America

filed by me on the same subject matter having a filing date before that of the

application(s) of which priority is claimed:

			UFFICE
COUNTRY/APPLICATION NO.	DATE OF FILING	PRIORITY CLA	AIMED
(if PCT, indicate "PCT")	(month/day/year)	UNDER	
		35 USC 119	
PCT/EP03/11343	10/14/03	[X] YES	[ ]NO
Germany DE 102 49 560.2	10/24/02	[X] YES	[ ] NO
		[ ] YES	[ ] NO
		[ ] YES	[ ]NO

# PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120

I hereby claim the benefit under 35 USC 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first

paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:111

PCT/US APPLICATION NO.	PCT/US FILING DATE	PATENTED	PENDING	ABANDONED
		[ ]	[ ]	
		[]		
		[ ]	[ ]	[ ]
			[ ]	

That Ina-Schaeffler KG is the Applicant in the above-identified international application.

That Ina-Schaeffler KG acquired the invention described and claimed in the international application and is the Applicant of the German application.

That Helmut Engelhardt and Johann Kecker have executed a document confirming that their rights in the invention belong to INA-Schaeffler KG.

That the other inventor Jörg Michel was named as joint inventor of the invention described and claimed in the above-identified German application.

### POWER OF ATTORNEY AND CORRESPONDENCE ADDRESS

I hereby appoint the following attorneys at the address listed below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office

connected therewith and to receive all correspondence in connection with this application:

Charles A. Muserlian, Registration No. 19,683

ADDRESS: Hedman and Costigan, P.C. CUSTOMER NO. 47888

1185 Avenue of the Americas

New York, NY 10036 Tel: 212-302 8989 Fax: 212-302 8998

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date 2005-08-05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Engelhardt, et al.

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New York, NY 10036

#### **DECLARATION**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

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That he is an employee of INA-Schaeffler KG in the patent department and is

ble for the above patent application. responsible for the above patent application.

That Jörg Michel submitted an invention disclosure concerning the invention claimed in the above application which was accepted under the German law by a letter dated September 17, 2002 as can be seen from Exhibit A submitted herewith with an English translation thereof.

That the above application, declaration and assignment were submitted to Mr. Michel by a letter of Mr. Becker of April 20, 2005 (Exhibit B and translation) for signature to which there was no response by Mr. Michel.

That Mr. Becker followed up with Mr. Michel by a letter of June 1, 2005 requesting that the executed documents for the above application be returned as soon as possible (Exhibit C and translation).

That to date, Mr. Michel has refused to execute the application which under German law belongs to INA-Schaeffler KG as can be seen from exhibit D.

The undersigned petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 Title 18 of the U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Matthias Becker

Echibit A





INA-Schaeffer KG · 91072 Herzogenaurach

Herrn Jörg Michel

IAMIME-EGD

INA-SCHAEFFLER KG En Unternehmen der INA-Holding Schaeffer KG

Industriestraße 1-3 \$1074 Herzogenaurach

Hausadresse: Telefon (0 91 32) 82 - 0 Telefax (0.91.32) 82 - 49.50 internet: www.ina.com

Sitz: Herzogenaurach

AUG 1 7 2005

Py Zeichen

Pyre Nachricht vom

Unser Zeichen

IT/HZA-GTV/Bc-sg

unrestricted laying claim

(09132) RZ- 3389

Telefon Durchwahl

Telefax Durchwahl

(09132) 82- 4946

CERTOE OF PETITIONS

E 2002106 Hr. M. Becker

in lune 1 EZUUZ 106 = Numer invention That

4099 = in level number after filing

Ihre Erfindungsmeldung Nr. 2002106

' Verbindungselement zwischen Schlepphebel und hydraulischem Abstützelement '

Sehr geehrter Herr Michel,

hiermit nehmen wir Ihre in obiger Erfindungsmeldung offenbarte Diensterfindung im Namen Ihres Arbeitgebers

## unbeschränkt in Anspruch.

Wir weisen Sie darauf hin, dass die Erfindung mindestens bis zur Einreichung der deutschen Schutzrechtsanmeldung geheimzuhalten und jede beabsichtigte Information Dritter der Patentabteilung rechtzeitig mitzuteilen ist. Aufgrund Ihrer Erfindungsmeldung werden wir zunächst in Deutschland eine Schutzrechtsanmeldung einreichen.

Bitte bestätigen Sie uns den Erhalt dieses Schreibens auf dem beigefügten Durchschlag.

Schaeffler KG

Anlage: 1 Doppel

Carstensen)

erhalten am: 19 09.02

Bankverbindungen: Drescher Bank Nümberg Sportesse Ertengen

(BLZ 750 800 40) 1 210 454 00 SWIFT-Code: DRES DE FF 750 SWIFT-COOP: BYLADE M1 ERM (BLZ 763 500 00) 6000960

Beyonsche Hypo- und Vereinsberik AG Briengen

(BLZ 763 200 72) 6512500 SWFT-Code: HYVE DE MM 417

Translation of letter dated 2002-09-17 to Mr. Michel, captioned:

IT/HZA-GTV/Bc-sg E 2002106 Hr. M. Becker

Your Invention Report No. 2002106

"Connecting element between a finger lever and a hydraulic support element"

Dear Mr. Michel,

On behalf of your employer, we herewith <u>lav unrestricted claim</u> to your service invention disclosed in the captioned Invention Report.

Please note that the invention is to be treated as confidential at least till the time of filing the German patent application and any information given intentionally to third parties is to be intimated to us in due time. On the basis of your invention report, we will at first file a patent application in Germany.

Please confirm receipt of this letter on the attached copy.

received on:		
(Carstensen)	(Brake)	
ppa.	i. V.	Encl.: 1 duplicate
INA-Schaeffler K		Engl 4 dualianta

(Signature)

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AUG 1 7 2005 CFFICE OF PETITIONS Exlibit B

Herrn Jörg Michel Unterleupoldsberg 4

95131 Schwarzenbach a. Wald

IT/HZA-GTV/Bo-sg 4099-18-US M. Becker

82-3389

82-4946

2005-04-20

New U.S. Patent Application "Schlepphebel eines Ventiltriebs einer Brennkraftmaschine"

anliegend übersenden wir Ihnen ein Exemplar unserer o. g. Patentanmeldung sowie RECEIVED ein Assignment und eine Declaration.

Wir bitten Sie, das Assignment und die Declaration an den angekreuzten Stellen mit ausgeschriebenem Vor- und Zunamen zu unterschreiben und handschriftlich in amerikanischer Form (z. B. April 12, 2005) zu datieren. Wegen des Ablaufs von amtlichen Fristen bitten wir Sie, uns die Unterlagen umgehend zurückzusenden. Für Ihre Bemühungen im voraus besten Dank.

Mit freundlichen Grüßen INA-Schaeffler KG

i.V.

(M. Becker)

(Siegrid Grahm)

Anlage

Translation of two identical letters written to Mr. Michel dated 2005-04-20 and bearing the references:

4099-18-US

4098-18-U\$

New U.S. Patent Application "Finger lever of a valve train of an internal combustion engine"

Dear Mr. Michel,

Enclosed please find a copy of our above-mentioned patent application and an Assignment and a Declaration.

We request you to sign the Assignment and the Declaration at the marked places with first name and sumame in full and to date them in handwriting in American style (e.g. April 12, 2005). Due to official deadlines, we request that you return the documents to us immediately. Thanking you in advance for your trouble.

With best wishes,

INA-Schaeffler KG

i.V.

i.A.

(M. Becker)

(Siegrid Grahm)

**Enclosure** 

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AUG 17 2005

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Exhibit C

INA-SCHAEFFLER PATENTE -> MU

Herrn Jörg Michel Unterleupoidsberg 4

95131 Schwarzenbach a. Wald

IT/HZA-GTV/Bo-sg 4099-18-US M. Becker 82-3389

82-4946

2005-06-01

New U.S. Patent Application
"Schlepphebel eines Ventiltriebs einer
Brennkraftmaschine"

Sehr geehrter Herr Michel,

wir erinnern an unser Schreiben vom 20.04.2005 und bitten Sie nochmals, uns die unterschriebenen Dokumente (Assignment und Declaration) so schnell wie möglich zurückzusenden. Vielen Dank.

Mit freundlichen Grüßen INA-Schaeffler KG

i,V.

(M. Becker)

i.A. (

(Siegrid Grahm)

Anlage

4/6

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MUS MUS

Translation of two identical letters written to Mr. Michel dated 2005-06-01 and bearing the references:

4099-18-US

4098-18-US

New U.S. Patent Application "Finger lever of a valve train of an internal combustion engine"

Dear Mr. Michel,

We remind you of our letter of 20.04.05 and request you once again to send us the signed documents (Assignment and Declaration) as soon as possible. Thank you.

With best wishes, INA-Schaeffler KG

i.V.

i.A.

(M. Becker)

(Siegrid Grahm)

Enclosure

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AUGITORS

OFFICE OF PETITIONS

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